

APPLICATION FOR ETHICAL APPROVAL

In order for research to result in benefit and minimise risk of harm, it must be conducted ethically. A researcher may not be covered by the University's insurance if ethical approval has not been obtained prior to commencement.

The University follows the OECD Frascati manual definition of **research activity**: "creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man, culture and society, and the use of this stock of knowledge to devise new applications". As such this covers activities undertaken by members of staff, postgraduate research students, and both taught postgraduate and undergraduate students working on dissertations/projects.

The individual undertaking the research activity is known as the "principal researcher".

Ethical approval is not required for routine audits, performance reviews, quality assurance studies, testing within normal educational requirements, and literary or artistic criticism.

Please read the notes for guidance before completing ALL sections of the form.

This form must be completed and approved prior to undertaking any research activity. Please see Checklist for details of process for different categories of application.

Delete the Guidance Notes at the end of the form BEFORE submitting your application

SECTION A: About You (Principal Researcher)

Full Name:		Felicity Gardner			
Tick all boxes which apply:		Member of staff:	☐	Honorary research fellow:	☐
Undergraduate Student	☐	Taught Postgraduate Student	☒	Postgraduate Research Student	☐

Faculty/School/Centre:	University of Trinity St David
Campus:	Swansea
E-mail address:	1605099@student.uwtsd.ac.uk
Contact Telephone Number:	07710615412
For students:	
Student Number:	1605099
Programme of Study:	PGCE Drama
Director of Studies/ Supervisor:	Elaine Sharpling

SECTION B: Approval for Research Activity

Has the research activity received approval in principle? (please check the Guidance Notes as to the appropriate approval process for different levels of research by different categories of individual)		YES	☒	NO	☐
If Yes, please indicate source of approval (and date where known): Approval in principle must be obtained from the relevant source prior to seeking ethical approval		Research Degrees Committee	☐		
		Faculty Research Committee	☐		
		Module Lead Catherine Morgan	☒	17/12/19	

SECTION C: Internal and External Ethical Guidance Materials

Please list the core ethical guidance documents that have been referred to during the completion of this form (including any discipline-specific codes of research ethics, and also any specific ethical guidance relating to the proposed methodology). Please tick to confirm that your research proposal adheres to these codes and guidelines.	
UWTSD Research Ethics & Integrity Code of Practice	☒
UWTSD Research Data Management Policy	☒
BERA Guidelines	☒

SECTION D: External Collaborative Research Activity

Does the research activity involve collaborators outside of the University?	YES	☐	NO	☒
If Yes, please provide the name of the external organisation and name and contact details for the main contact person and confirmation this person has consented to their personal data being shared as part of this collaboration.				
Institution				
Contact person name				
Contact person e-mail address				
Has this individual consented to sharing their details on this form?	YES	☐	NO	☐
Are you in receipt of a KESS scholarship?	YES	☐	NO	☐

Is your research externally funded		YES	☐	NO	☐
Are you specifically employed to undertake this research in either a paid or voluntary capacity?	Voluntary	YES	☐	NO	☐
	Employed	YES	☐	NO	☐
Is the research being undertaken within an existing UWTSD Athrofa Professional Learning Partnership (APLP)	If YES then the permission question below does not need to be answered.	YES	☐	NO	☐
Permission to undertake the research has been provided by the partner organisation	(If YES attach copy) If NO the application cannot continue	YES	☐	NO	☐

Where research activity is carried out in collaboration with an external organisation

Does this organisation have its own ethics approval system?	YES	☐	NO	☐
If Yes, please attach a copy of any final approval (or interim approval) from the organisation				

SECTION E: Details of Research Activity

Indicative title:	Does structured group/pair work encourage greater participation in less confident pupils?		
Proposed start date:	3rd February 2020	Proposed end date:	4th February 2020
Introduction to the Research (maximum 300 words) Ensure that you write for a Non-Specialist Audience when outlining your response to the three points below: • Purpose of Research Activity • Proposed Research Question • Aims of Research Activity • Objectives of Research Activity Demonstrate, briefly, how Existing Research has informed the proposed activity and explain • What the research activity will add to the body of knowledge • How it addresses an area of importance.			
Purpose of Research Activity The purpose of the research is to find out if there is link between the structure of tasks and levels of participation. (this box should expand as you type)			

Research Question

Does structured group/pair work encourage greater participation in less confident pupils?

(this box should expand as you type)

Aims of Research Activity

The aim of the research is to find out if structured group or pair work encouraged greater participation in pupils, especially those of lower confidence. We will do this by putting pupils in groups for 2 tasks and then comparing the tasks.

(this box should expand as you type)

Objectives of Research Activity

To identify any correlation between structured group work and pupil's participation.

(this box should expand as you type)

Proposed methods (maximum 600 words)

Provide a brief summary of all the methods that **may** be used in the research activity, making it clear what specific techniques may be used. If methods other than those listed in this section are deemed appropriate later, additional ethical approval for those methods will be needed.

Methods used will include unstructured observation, where we will collect data while in our partners class, being unstructured this will allow us to note down key moments. Downfalls of this method are that it could be bias if observing pupils that are known. Semi-structured focus group interview will also be used. We have chosen to use a semi structured focus group interview because this method means that people are able to talk about something in detail and depth, it is easy to record, and it allows any complex issues or questions to be discussed. Issues with this may be that the interviewee could lie without us realising and, they may have imperfect recall. I will also do a written reflection after the lesson to ensure my thoughts are written down with clarity so I can refer to them later.

We will use a Lesson Study method and follow the structure of "Plan, Observe and Reflect". Lesson study will be beneficial for this research as It encourages collaboration and reflection, researchers can choose their own research question and It is based on evidence.

We will also use a reflection pro-forma (based on Gibbs 1988). This style suited us best as it was the most flexible in our opinion. This fitted our research question the best.

(this box should expand as you type)

Location of research activity

Identify all locations where research activity will take place.

The research activity will take place in a naturally bilingual school for 11-16-year old pupils. The school is maintained by the local authority. There are 480 pupils on roll. The school is situated in a rural area. Pupils come from areas that are neither prosperous nor economically disadvantaged. Eleven point one per cent (11.1%) of pupils are eligible for free school meals. Approximately 75% of pupils come from Welsh-speaking home and many pupils speak Welsh fluently. A small percentage of pupils are from ethnic minority backgrounds. The school admits pupils from the full ability range. Fourteen per cent (14%) of pupils are on the additional learning needs register, and 1% of pupils have a statement of special educational needs.

Research activity outside of the UK

If research activity will take place overseas, you are responsible for ensuring that local ethical considerations are complied with and that the relevant permissions are sought. Specify any local guidelines (e.g. from local professional associations/learned societies/universities) that exist and whether these involve any ethical stipulations beyond those usual in the UK (provide details of any licenses or permissions required). Also specify whether there are any specific ethical issues raised by the local context in which the research activity is taking place, for example, particular cultural and/or legal sensitivities or vulnerabilities of participants.

n/a

(this box should expand as you type)

Use of documentation not in the public domain: Are any documents **NOT** publicly available?

NO

☒ X

YES

☐

If Yes, please provide details here of how you will gain access to specific documentation that is not in the public domain and that this is in accordance with prevailing data protection law of the country in question and England and Wales.

n/a

(this box should expand as you type)

SECTION F: Scope of Research Activity

Will the research activity include:	YES	NO
Use of a questionnaire or similar research instrument?	☐	☒
Use of interviews?	☒	☐
Use of diaries?	☐	☒
Participant observation with their knowledge?	☒	☐
Participant observation without their knowledge?	☐	☒
Use of video or audio recording?	☐	☒
Access to personal or confidential information without the participants' specific consent?	☐	☒
Administration of any questions, test stimuli, presentation that may be experienced as physically, mentally or emotionally harmful / offensive?	☐	☒
Performance of any acts which may cause embarrassment or affect self-esteem?	☐	☒
Investigation of participants involved in illegal activities?	☐	☒
Use of procedures that involve deception?	☐	☒
Administration of any substance, agent or placebo?	☐	☒
Working with live vertebrate animals?	☐	☒
Other primary data collection methods, please explain in this box For example, 'focus groups'. Please indicate the type of data collection method(s) in this box and tick the accompany box.		
Details of any other primary data collection method:	☐	☒
(this box should expand as you type)		

If NO to every question, then the research activity is (ethically) low risk and **may** be exempt from **some** of the following sections (please refer to Guidance Notes).

If YES to any question, then no research activity should be undertaken until full ethical approval has been obtained.

SECTION G: Intended Participants

Who are the intended participants:	YES	NO
Students or staff at the University?	☐	☐ x
Adults (over the age of 18 and competent to give consent)?	☐	☐ x
Vulnerable adults?	☐	x ☐
Children and Young People under the age of 18? (Consent from Parent, Carer or Guardian will be required)	☐ x	☐
Prisoners?	☐	☐ x
Young offenders?	☐	☐ x
Those who could be considered to have a particularly dependent relationship with the investigator or a gatekeeper?	☐	☐ x
People engaged in illegal activities?	☐	☐ x
Others (please identify specifically any group who may be unable to give consent) please indicate here and tick the appropriate box.		
Other – please indicate here: (this box should expand as you type)	☐	x ☐

Participant numbers and source

Provide an estimate of the expected number of participants. How will you identify participants and how will they be recruited?

How many participants are expected?	11 class pupils and 3 pupils who will be interviewed from the class. (this box should expand as you type)
Who will the participants be?	Participant will be pupils from a Drama class at Key Stage 4. Aged 15-16 (this box should expand as you type)
How will you identify the participants?	Participant will be picked based on a representative sample of different confidence levels in the class. (this box should expand as you type)

Information for participants:	YES	NO	N/A
Will you describe the main research procedures to participants in advance, so that they are informed about what to expect?	☒	☐	☐
Will you tell participants that their participation is voluntary?	☒	☐	☐
Will you obtain written consent for participation?	☒	☐	☐
Will you explain to participants that refusal to participate in the research will not affect their treatment or education (if relevant)?	☒	☐	☐
If the research is observational, will you ask participants for their consent to being observed?	☒	☐	☐
Will you tell participants that they may withdraw from the research at any time and for any reason?	☒	☐	☐
With questionnaires, will you give participants the option of omitting questions they do not want to answer?	☐	☐	☒
Will you tell participants that their data will be treated with full confidentiality and that, if published, it will not be identifiable as theirs?	☒	☐	☐
Will you debrief participants at the end of their participation, in a way appropriate to the type of research undertaken?	☒	☐	☐
If NO to any of above questions, please give an explanation			
n/a			
<i>(this box should expand as you type)</i>			

Information for participants:	YES	NO	N/A
Will participants be paid?	☐	☒	☐
Is specialist electrical or other equipment to be used with participants?	☐	☒	☐
Are there any financial or other interests to the investigator or University arising from this study?	☐	☒	☐
Will the research activity involve deliberately misleading participants in any way, or the partial or full concealment of the specific study aims?	☐	☒	☐
If YES to any question, please provide full details			
n/a			
<i>(this box should expand as you type)</i>			

SECTION H: Anticipated Risks

Outline any anticipated risks that may adversely affect any of the participants, the researchers and/or the University, and the steps that will be taken to address them.

If you have completed a full risk assessment (for example as required by a laboratory, or external research collaborator) you may append that to this form.

Full risk assessment completed and appended?

Yes

☐

No

☐ X

Risks to participants

For example: emotional distress, financial disclosure, physical harm, transfer of personal data, sensitive organisational information

Risk to Participant:

The risk to the participant is relatively low. Emotional stress or **self-esteem** may be **affected** when having to work in a group. This could be as a result of previous experiences.

(this box should expand as you type)

*How will you mitigate the Risk to **Participant**?*

The risk will be assessed throughout the class to ensure all pupils feel comfortable in every task.

(this box should expand as you type)

If research activity may include sensitive, embarrassing or upsetting topics (e.g. sexual activity, drug use) or issues likely to disclose information requiring further action (e.g. criminal activity), give details of the procedures to deal with these issues, including any support/advice (e.g. helpline numbers) to be offered to participants. Note that where applicable, consent procedures should make it clear that if something potentially or actually illegal is discovered in the course of a project, it may need to be disclosed to the proper authorities

n/a

(this box should expand as you type)

Risks to investigator

For example: personal safety, physical harm, emotional distress, risk of accusation of harm/impropriety, conflict of interest

Risk to Investigator:

A small risk may be a participant dropping out of the project.

(this box should expand as you type)

How will you mitigate the Risk to Investigator:

To minimise this risk, my partner and I will pick a potential of 5 pupils to interview. **Therefore**, we will have 2 backups if anyone drops out.

(this box should expand as you type)

University/institutional risks

For example: adverse publicity, financial loss, data protection

Risk to University:

Potential risk to the university may **include** **damaging** the university's reputation and in turn damaging relations between schools and network **schools**.

(this box should expand as you type)

How will you mitigate the Risk to University:

To manage this **risk**, I will behave in a professional manner throughout my time on placement and ensure all protocols are followed to minimise all risks to involving parties.

(this box should expand as you type)

Disclosure and Barring Service

If the research activity involves children or vulnerable adults, a Disclosure and Barring Service (DBS) certificate must be obtained before any contact with such participants.

YES

NO

N/A

Does your research require you to hold a current DBS Certificate?

☒ **x**

☐

☐

SECTION I: Feedback, Consent and Confidentiality

Feedback

What de-briefing and feedback will be provided to participants, how will this be done and when?

Three pupils will be asked to take part in a group interview after the class has been completed. A copy of our results will be available to participants on request.

(this box should expand as you type)

Informed consent

Describe the arrangements to inform potential participants, before providing consent, of what is involved in participating. Describe the arrangements for participants to provide full consent before data collection begins. If gaining consent in this way is inappropriate, explain how consent will be obtained and recorded in accordance with prevailing data protection legislation.

Informing potential participants will be done via a letter which will explain the nature of the research and what may be involved. We will also highlight to pupil that the research participation is voluntary.

(this box should expand as you type)

Confidentiality / Anonymity

Set out how anonymity of participants and confidentiality will be ensured in any outputs. If anonymity is not being offered, explain why this is the case.

Anonymity and confidentiality will be made by following BERA guidelines and the university's guidelines. Any hard copies of data will be destroyed after the research has been carried out.

(this box should expand as you type)

SECTION J: Data Protection and Storage

In completing this section refer to the University's Research Data Management Policy and the extensive resources on the University's Research Data Management web pages (<http://uwtsd.ac.uk/library/research-data-management/>).

Does the research activity involve personal data (as defined by the General Data Protection Regulation 2016 "GDPR" and the Data Protection Act 2018 "DPA")?	YES	NO
"Personal data" means any information relating to an identified or identifiable natural person ('data subject'). An identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.	☒ x	☐
If YES, provide a description of the data and explain why this data needs to be collected:		
Consent forms completed by the parents of participants will include names. The information collated will be secured adhering to data protection policies and will be destroyed once research is complete. Any data collection and storage will adhere to the University's Research Data Management Policy.		
Does it involve special category data (as defined by the GDPR)?	YES	NO
"Special category data" means sensitive personal data consisting of information as to the data subjects' – (a) racial or ethnic origin, (b) political opinions, (c) religious beliefs or other beliefs of a similar nature, (d) membership of a trade union (within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992), (e) physical or mental health or condition, (f) sexual life, (g) genetics, (h) biometric data (as used for ID purposes),	☐	☒ x
If YES, provide a description of the special category data and explain why this data needs to be collected:		
(this box should expand as you type)		

Will the research activity involve storing personal data and/or special category data on one of the following:	YES	NO
Manual files (i.e. in paper form)?	☒ x	☐
University computers?	☐	☒ x
Private company computers?	☐	☒ x
Home or other personal computers?	☐	☒ x

Laptop computers/ CDs/ Portable disk-drives/ memory sticks?	☐	☒ x
“Cloud” storage or websites?	☒ x	☐
Other – specify:	☐	☐
For all stored data, explain the measures in place to ensure the security of the data collected, data confidentiality, including details of password protection, encryption, anonymisation and pseudonymisation:		
Data will be kept confidential, anonymised and password protected on OneDrive. Any manual files will be kept safe in a locked cupboard and will be destroyed after the final examination board has met and agreed the module marks. <i>(this box should expand as you type)</i>		
All Data Storage		
Will the research activity involve any of the following activities:	YES	NO
Electronic transfer of data in any form?	☒ x	☐
Sharing of data with others at the University?	☒ x	☐
Sharing of data with other organisations?	☐	☒ x
Export of data outside the European Union or importing of data from outside the UK?	☐	☒ x
Use of personal addresses, postcodes, faxes, emails or telephone numbers?	☐	☒ x
Publication of data that might allow identification of individuals?	☐	☒ x
Use of data management system?	☐	☒ x
Data archiving?	☐	☒ x
If YES to any question, please provide full details, explaining how this will be conducted in accordance with the GDPR and DPA (and/or any international equivalent):		
Sharing of data with one other from university will be done via One Drive where everything is stored following the university’s data protection guidelines. <i>(this box should expand as you type)</i>		
List all who will have access to the data generated by the research activity:		
Myself Research Partner <i>(this box should expand as you type)</i>		

List who will have control of, and act as custodian(s) for, data generated by the research activity:

Myself

(this box should expand as you type)

Give details of data storage arrangements, including security measures in place to protect the data, where data will be stored, how long for, and in what form. Will data be archived – if so how and if not why not.

Data will be stored on One Drive using password protection in accordance with data protection policies.

(this box should expand as you type)

Please indicate if your data will be stored in the UWTSD Research Data Repository (see <https://researchdata.uwtsd.ac.uk/>). If so please explain. *(Most relevant to academic staff)*

n/a

(this box should expand as you type)

Confirm that you have read the UWTSD guidance on data management (see <https://www.uwtsd.ac.uk/library/research-data-management/>)

YES

☒ x

NO

☐

Confirm that you are aware that you need to keep all data until after your research has completed or the end of your funding

YES

☒ x

NO

☐

SECTION K: Declaration

The information which I have provided is correct and complete to the best of my knowledge. I have attempted to identify any risks and issues related to the research activity and acknowledge my obligations and the rights of the participants.

In submitting this application I hereby confirm that I undertake to ensure that the above named research activity will meet the University's [Research Ethics and Integrity Code of Practice](#)

Signature of applicant:

Date:17/12/19

For STUDENT Submissions:

Director of Studies/
Supervisor:

Date:17/12/19

Signature:

For STAFF Submissions:

Head of School/Assistant
Dean:

Date:

Signature:

Checklist: Please complete the checklist below to ensure that you have completed the form according to the guidelines and attached any required documentation:

☒	I have read the guidance notes supplied before completing the form.
☒	I have completed ALL RELEVANT sections of the form in full.
☒	I confirm that the research activity has received approval in principle
☐	I have attached a copy of final/interim approval from external organisation (where appropriate)
☐	I have attached a full risk assessment (and have NOT completed Section H of this form) (where appropriate) ONLY TICK IF YOU HAVE ATTACHED A FULL RISK ASSESSMENT
☒	I understand that it is my responsibility to ensure that the above named research activity will meet the University's Research Ethics and Integrity Code of Practice.
☒	I understand that before commencing data collection all documents aimed at respondents (including information sheets, consent forms, questionnaires, interview schedules etc.) must be confirmed by the DoS/Supervisor, module tutor or Head of School.



☒ x

I have deleted the guidance notes before submitting the PG2 for consideration

